

Catelas Compliance ... AUDITS & INVESTIGATIONS



Catelas® has transformed the way compliance is undertaken for companies concerned about unethical business operations. By providing **360° assessments**, Catelas is able to uncover key relationships with partners, 3rd parties and individuals, especially those operating in high risk countries - all remotely, without getting on a plane and without disrupting the business.

The key to any compliance program is to identify potentially suspicious or unethical behavior hopefully before infractions occur. However, on-site investigations and audits are often cost-prohibitive and not practical so Catelas has developed a fast, effective and non-disruptive way to audit and report on such relationships – remotely!



Current FCPA Compliance challenges

1. The current compliance tools are largely focused on the financials such as **expense claim infractions**.
2. On site audits, are often blind, relying on org charts and interviews, to piece together a rudimentary understanding of how the office does business.
3. The current investigation process is **manual, disruptive and expensive** and results are often **inconclusive**.

Catelas Audits & Investigations

- ✓ **100% company-wide view - comprehensive**
Uncover and visually display every relationship with external entities, mapping all business relationships - 'who knows who' and 'why'.
- ✓ **Rapid response - 'always-on'**
Conduct due diligence risk assessments & ongoing audits within a day, non-invasively and without disrupting business operations.
- ✓ **Compliance & Investigations - peace of mind**
Provide a comprehensive approach to compliance & investigations that is fast, effective and non-disruptive.

What is Relationship Forensics?

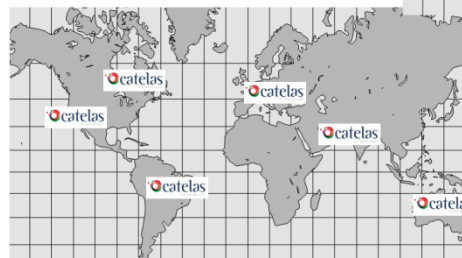
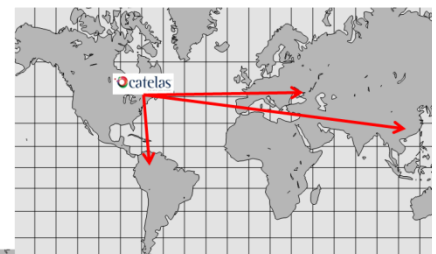
Catelas leverages social network analysis and behavioral science algorithms to analyze email server log files, allowing the entire relationship network of the company to be uncovered.

By automatically uncovering the relationships that exist with partners and 3rd party entities and grading those relationships, Catelas quickly uncovers potential suspicious or unethical behavior and relationships.

Used as an auditing and early 'red flag' alerting system for fast and effective internal controls, Catelas is 'always-on' and delivers automated reports for compliance peace of mind.

Scheduled & Ad-hoc Audits

- 360 degree assessments**
- Report on key relationships in high-risk countries
 - Uncover high-risk partners, 3rd parties or individuals
 - Fast, effective, non-disruptive



Detailed Investigations

- Deployed on laptop or remotely accessed server
- Early Case Intelligence to assess scope & importance of investigation
- Key word analysis and network maps
- Create case report for executive review

